

2
BT
4-10-02



BOX DD

Agent's Docket No. 12318-US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of)
)
Dan-xia Xu et al.)
)
Serial No: 10/021,081) Art Unit: 2878
)
Filed: 19-December-2001) Examiner:

For: **HIGH SPEED AND HIGH EFFICIENCY SI-BASED PHOTODETECTORS
USING WAVEGUIDES FORMED WITH SILICIDES FOR NEAR IR
APPLICATIONS**

March 27, 2002

Commissioner of Patent and Trademarks

Washington, D.C. 20231

TRANSMITTAL LETTER

Sir:

Please find enclosed for filing:

- Information Disclosure Statement – For PTO 1449A

(with prior art documents attached)

Respectfully submitted,

BY: 

George M. MacGregor
Reg. No. 37,547
Agent of Record

MARKS & CLERK
P. O. Box 957, Station B
Ottawa, Ontario Canada K1P 5S7
(613) 236-9561

RECEIVED
APR - 1 2002
TO 2800 MAIL ROOM



TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

RECEIVED
Docket No. 12318-US
APR 11 2002

In Re Application Of:

Dan-xia Xu et al.

TC 2800 MAIL ROOM

Serial No.
10/021,081

Filing Date
December 19, 2001

Examiner

Group Art Unit
2878

Title:

**HIGH SPEED AND HIGH EFFICIENCY SI-BASED PHOTODETECTORS USING WAVEGUIDES
FORMED WITH SILICIDES FOR NEAR IR APPLICATIONS**

Address to:

Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
 2. a Notice of Allowance under 37 CFR 1.311,
- whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

OR

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).



TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

RECEIVED
Docket No.
12318-US
APR -1 2002

In Re Application Of:

Dan-xia Xu et al.

IC 2800 MAIL ROOM

Serial No.
10/021,081

Filing Date
December 19, 2001

Examiner

Group Art Unit
2878

Title:

**HIGH SPEED AND HIGH EFFICIENCY Si-BASED PHOTODETECTORS USING WAVEGUIDES
FORMED WITH SILICIDES FOR NEAR IR APPLICATIONS**

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☐ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. _____ as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☐ Credit any overpayment.
- ☐ Charge any additional fee required.

Certificate of Transmission by Facsimile*

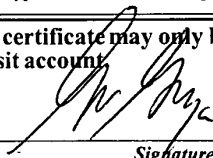
I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____)

on _____
(Date)

Signature

Typed or Printed Name of Person Signing Certificate

*This certificate may only be used if paying by deposit account


Signature

George M. MacGregor 37,547
MARKS & CLERK
P.O. Box 957, Station B
Ottawa, ON K1P 5S7
CANADA
Tel: (613) 236-9561

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on _____ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Correspondence

Dated: March 27, 2002

CC: